Registration form under KrWG sec. 53

## Registration of collectors, carriers, dealers and brokers of wastes

(Please tick if applicable or fill out)

Initial registration

Amendment registration

Procedure number (if issued by the authority)

### 1 Registrant (company headquarters)

- 1.1 Company/Corporation
- 1.2 Street, House no.
- 1.3 Federal state (2-place), Postcode, City
- 1.4 Country (2-place)
- 1.5 For registrants with no domestic headquarters:
  Place of the first collection, carrier, dealership, or brokerage activity in Germany:
  Federal state (2-place), Postcode, City
- 1.6 Telephone, Fax, VAT ID no.
- 1.7 Mobile no., email
- 1.8 Business registration: Date of registration, Competent authority, File number (if known)
- 1.9 Entry in the commercial, association or cooperative societies register (if an entry was made): Register number (HRA, HRB, etc.), Registry court

## 2 The following waste management activities are being registered:

2.1 Collecting Collector or carrier number under NachwV sec. 28 (if already issued)

2.2 Carrying Carrier number under NachwV sec. 28 (if already issued)
 2.3 Dealing Dealer number under NachwV sec. 28 (if already issued)
 2.4 Brokering Broker number under NachwV sec. 28 (if already issued)

### 3 Type of activity

3.1 For gain.

The company engages wholly or partly in the pecuniary collecting, transporting, trading, or brokering of waste for third parties.

3.2 As part of commercial enterprise.

The company's purpose is other industrial or economic activity that is not directed at collecting, transporting, trading or brokering of waste.

### 4 Exemption from the licensing obligation

- 4.1 Only non-hazardous waste (then further under 5) Also hazardous waste (then further under 4.2)
- 4.2 The collection, carrying, dealing and brokering of hazardous wastes is subject to licensing obligation under KrWG sec. 54, para. 1, sentence 1. However the business is exempted from the licensing obligation under by reason of one or more of the named facts named below and is thus subject only to registration obligation under KrWG sec. 53, para. 1, sentence 1.

- 4.2.1 By reason of its capacity as a public waste carrier (KrWG sec. 54, para. 3, number 1)
- 4.2.2 By reason of its capacity as a certified specialized disposal company for the disclosed activity (according to KrWG sec. 54, para. 3, no. 2)
  - 4.2.2.1 Certificate is enclosed.
- 4.2.3 By reason of its capacity as collector, carrier, dealer, and broker of electrical and electronic devices as part of the execution of the Electrical and Electronic Equipment Act (ElektroG sec. 2, para. 3, sentence 1)
- 4.2.4 By reason of its capacity as collector, carrier, dealer, and broker of used batteries as part of the execution of the Battery Act (BattG sec. 1, para. 3, sentence 1)
- 4.2.5 By reason of its capacity as collector, carrier, dealer and broker of hazardous wastes who is active as part of commercial enterprise (AbfAEV sec. 12, para. 1, number 1)
- 4.2.6 By reason of its capacity as collector, carrier, dealer and broker of hazardous wastes who collects, carries, deals with or brokers wastes that have come back from a manufacturer or distributor voluntarily or due to a legal regulation (AbfAEV sec. 12, para. 1, number 2)
- 4.2.7 By reason of its capacity as collector, carrier, dealer and broker of used vehicles as part of their abandonment under sec. 4, paras. 1 to 3 of the ELV Regulation (AbfAEV sec. 12, para. 1, number 3)
- 4.2.8 By reason of its capacity as being certified EMAS company for the disclosed activity (AbfAEV sec. 12, para. 1, number 4)
  - 4.2.8.1 Registration certificate is enclosed.
- 4.2.9 By reason of its capacity as collector and carrier of hazardous wastes who collects or carries the wastes using seagoing vessels (AbfAEV sec. 12, para. 1, number 5)
- 4.2.10 By reason of its capacity as collector and carrier of hazardous wastes who collects or carries the wastes as part of package, express, and courier services (AbfAEV sec. 12, para. 1, number 6)

### 5 Business owner

- 5.1 Surname, Forename
- 5.2 Date of birth, Place of birth

Additional business owner (if present):

- 5.3 Surname, Forename
- 5.4 Date of birth, Place of birth

Please use a separate supplementary sheet for additional persons.

# The person responsible for management and supervision of the business (if not identical with the business owner)

- 6.1 Surname, Forename
- 6.2 Date of birth, Place of birth

Additional persons responsible for the business's management and supervision (if present)

- 6.3 Surname, Forename
- 6.4 Date of birth, Place of birth

Please use a separate supplementary sheet for additional persons.

## 7 Free for the registrants' annotations (information voluntary)

## 8 Affirmation and signature

- 8.1 It is affirmed that
  - the registration was filled out in good faith and sent to the competent authority by the date indicated below:
  - all pertinent regulations were complied with during the activity of collecting, carrying, dealing, or brokering wastes, particularly the provisions of the Closed Cycle Management Act and the legal ordinances proceeding on the basis of this law; and
  - the requirements imposed on collectors, carriers, dealers, and brokers of wastes under section 2 of the Ordinance on Waste Recovery and Disposal Records have been complied with.
- 8.2 Place, Signature
- 8.3 Date (DD.MM.YYYY)

## 9 Confirmation of receipt of the complete registration (filled out by the authority)

- 9.1 Receipt of the complete registration is hereby confirmed.
- 9.2 The following collector number is issued under NachwV sec. 28:
- 9.3 The following carrier number is issued under NachwV sec. 28:
- 9.4 The following dealer number is issued under NachwV sec. 28:
- 9.5 The following broker number is issued under NachwV sec. 28:
- 9.6 Free for the authority's annotations
- 9.7 Place, Signature
- 9.8 Date (DD.MM.YYYY)

#### 10 Notes

- 10.1 Fees for official confirmation of receipt of the completed registration depend on state law. A separate fee assessment is made if this is the case.
- 10.2 Collectors and carriers of wastes have to carry a copy or printout of this officially confirmed registration along during exercise of their activity if they are not exempted from the obligation to do so. If the authority has not yet confirmed the registration, this is to be annotated by the discloser on the copy or printout. In this case, the annotated copy or printout of the registration is to be carried along. Specialized disposal companies also have to carry along a copy of the currently valid certificate. EMAS businesses must also carry along a copy of the currently valid registration certificate.
- 10.3 The registration must be resubmitted if essential information changes. Fields 1.1 through 1.4 and 2 through 6 are essential information.